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**FEDERAL BUREAU OF INVESTIGATION**  
**Electronic Communication****Title:** (U) Opening EC**Date:** 10/10/2019**CC:** D6-ECU**From:** NEW YORK**Contact:** [REDACTED]**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** [REDACTED] (U) H2O Asset Managementb6  
b7C  
b7E**Synopsis:** (U) To request opening of [REDACTED]  
[REDACTED] H2O Asset Management.**Administrative Notes:** (U) Concurrence granted by SSA [REDACTED] on  
10/04/2019b6  
b7C**Details:**

H2O Asset Management ("H2O") is a London-based fund manager with an  
AUM, at its peak, of more than \$30 billion. [REDACTED]

[REDACTED]

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[REDACTED]

[REDACTED] Shortly after

[REDACTED]

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Title: (U) Opening EC

Re: [REDACTED] 10/10/2019

[REDACTED]

The circumstances set forth above constitute an articulable factual basis for an investigation into [REDACTED]

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[REDACTED] Accordingly, writer requests authorization to [REDACTED] concerning captioned matter. [REDACTED]

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[REDACTED]

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Title: (U) Case Closing

Re: [REDACTED] 01/14/2021

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**FEDERAL BUREAU OF INVESTIGATION**  
**Electronic Communication****Title:** (U) Case Closing**Date:** 01/14/2021**From:** NEW YORK

NY-C43

**Contact:** [REDACTED]**Approved By:** SSA [REDACTED]b6  
b7C  
b7E**Drafted By:** [REDACTED]**Case ID #:** [REDACTED]

(U) H2O Asset Management

**Synopsis:** (U) To request case closing**Details:**

H2O Asset Management is a London-based fund manager, and [REDACTED]

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[REDACTED]

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[REDACTED] Therefore, with concurrence from the US Attorney's Office from the Southern District of New York, writer is requesting closing of the case. [REDACTED]

[REDACTED]

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## FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/27/2019

On November 27, 2019, SA [ ] interviewed [ ] via telephone, at [ ] at the [ ] The Indianapolis Division referred [ ] to SA [ ] to provide information concerning captioned investigation. After being advised of the identity of the interviewing agent, [ ] voluntarily provided the following information:

[ ] (keep confidential). In the course of his due diligence on the [ ]

[ ] learned that [ ]

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[ ] As a result, [ ] reached out to the FBI to determine [ ]

SA [ ] told [ ] that the FBI could not advise him one way or the other [ ]

Investigation on 11/27/2019 at New York, New York, United States (Phone)

File # [ ] Date drafted 11/27/2019

by [ ]